



CP 2766  
RECEIVED #4

JUN 1 2000

I hereby certify that this transmission of the below described document is being deposited with the United States Postal Service (in accordance with the first Class Postage and address of the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.			
Date of Deposit:	5/26/00	Name of Person Making the Deposit:	Christie Olsson
		Signature of the Person Making the Deposit:	<i>Christie Olsson</i>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Whitfield—

Docket No. : ADEX0001

Serial No. : 09/472,100—

Art Unit: 2766—

Filed: 12/20/99

Examiner: Unknown

Title: SECURE SYSTEM FOR THE ISSUANCE, ACQUISITION, AND REDEMPTION—  
OF CERTIFICATES IN A TRANSACTION NETWORK—

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
  - ☒ Certification under 37 CFR 1.97(e), or
  - ☐ a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Certification under 37 CFR 1.97(e), and
  - ☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.



Respectfully submitted,

A handwritten signature of Michael A. Glenn.

Michael A. Glenn  
Attorney For Applicant  
Reg. No. 30,176

Customer No. 22862

PATENT

JUN 1 2000

GROUP 2700



In re Application of: Whitfield

Docket No. : ADEX0001

Serial No. : 09/472,100

Art Unit: 2766

Filed: 12/20/99

Examiner: Unknown

Title: SECURE SYSTEM FOR THE ISSUANCE, ACQUISITION,  
AND REDEMPTION OF CERTIFICATES IN A TRANSACTION  
NETWORK

**CERTIFICATION FOR  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97(e)**

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Dear Sir: .....

A certification is being made for the Information Disclosure Statement  
accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify .

( ) that each item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of the  
statement. (37 CFR 1.97(e)(1))

(x) that no item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a  
counterpart foreign application or to the knowledge of the person signing the  
certification after making reasonable inquiry, was known to any individual

Attorney Docket No. ADEX0001

designated in §1.56(c) more than three months prior to the filing of the statement.  
37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the



basis of the information:

- ☐ ( ) supplied by the inventor(s)
- ☐ ( ) supplied by an individual designated in §1.56(c)
- ☒ (x) in the attorney's file

the attorney who signs below on the basis on the information in the attorney's files.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael A. Glenn".

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862